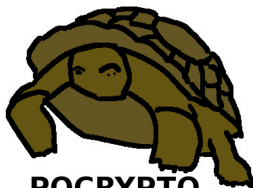


# Cryptanalysis of NISTPQC submissions

Daniel J. Bernstein, Tanja Lange, Lorenz Panny

University of Illinois at Chicago, Technische Universiteit Eindhoven



**PQCRYPTO**  
**ICT-645622**

18 August 2018

Workshops on Attacks in Cryptography

# NSA announcements

August 11, 2015

*IAD recognizes that there will be a move, in the not distant future, to a quantum resistant algorithm suite.*



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August 19, 2015

*IAD will initiate a transition to quantum resistant algorithms in the not too distant future.*



# Post-quantum cryptography

- ▶ 2015 Finally even NSA admits that the world needs post-quantum crypto.
- ▶ 2016 Every agency posts something ([NCSC UK](#), [NCSC NL](#), [NSA](#) (broken certificate!)).
- ▶ 2016 NIST announces call for submissions to post-quantum project, solicits submissions on signatures, encryption, and key exchange.

# Post-quantum cryptography

- ▶ 10 years of motivating people to work on post-quantum crypto.
- ▶ 2015 Finally even NSA admits that the world needs post-quantum crypto.
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# NIST Post-Quantum “Competition”

December 2016, after public feedback: NIST [calls for submissions](#) of post-quantum cryptosystems to standardize.

30 November 2017: NIST [receives 82 submissions](#).

Overview from Dustin Moody's (NIST) talk at Asiacrypt:

	Signatures	KEM/Encryption	Overall
Lattice-based	4	24	28
Code-based	5	19	24
Multi-variate	7	6	13
Hash-based	4		4
Other	3	10	13
<b>Total</b>	<b>23</b>	<b>59</b>	<b>82</b>



## “Complete and proper” submissions

21 December 2017: NIST posts [69 submissions](#) from 260 people.

**BIG QUAKE. BIKE. CFPKM. Classic McEliece. Compact LWE. CRYSTALS-DILITHIUM. CRYSTALS-KYBER. DAGS. Ding Key Exchange. DME. DRS. DualModeMS. Edon-K. EMBLEM and R.EMBLEM. FALCON. FrodoKEM. GeMSS. Giophantus. Gravity-SPHINCS. Guess Again. Gui. HILA5. HiMQ-3. HK17. HQC. KINDI. LAC. LAKE. LEDAkem. LEDApkc. Lepton. LIMA. Lizard. LOCKER. LOTUS. LUOV. McNie. Mersenne-756839. MQDSS. NewHope. NTRUencrypt. NTRU-HRSS-KEM. NTRU Prime. NTS-KEM. Odd Manhattan. OKCN/AKCN/CNKE. Ouroboros-R. Picnic. pqNTRUSign. pqRSA encryption. pqRSA signature. pqsigRM. QC-MDPC KEM. qTESLA. RaCoSS. Rainbow. Ramstake. RankSign. RLCE-KEM. Round2. RQC. RVB. SABER. SIKE. SPHINCS+. SRTPI. Three Bears. Titanium. WalnutDSA.**



# Attack timeline: month 0

2017.12.18 Bernstein–Groot Bruinderink–Panny–Lange:  
attack script breaking CCA for HILA5



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☢: submitter has claimed patent on submission.

**Warning:** Other people could also claim patents.

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# Attack timeline: subsequent events

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Color coding: **total break**; **partial break**



# HILA5

- ▶ HILA5 is a RLWE-based KEM submitted to NISTPQC.

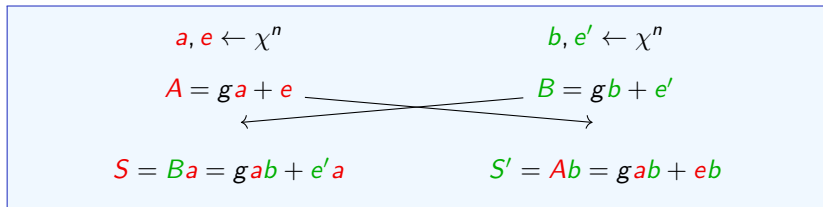
*This design also provides **IND-CCA secure** KEM-DEM public key encryption if used in conjunction with an appropriate AEAD such as NIST approved AES256-GCM.*

— HILA5 NIST submission document (v1.0)

- ▶ Decapsulation much faster than encapsulation (and faster than any other scheme).
- ▶ No mention of a CCA transform (e.g. Fujisaki–Okamoto).

# Noisy Diffie–Hellman

- ▶ Have a ring  $R = \mathbf{Z}[x]/(q, \varphi)$  where  $q \in \mathbf{Z}$  and  $\varphi \in \mathbf{Z}[x]$ .  
degree  $n$
- ▶ Let  $\chi$  be a narrow distribution around  $0 \in R$ .
- ▶ Fix some “random” element  $g \in R$ .



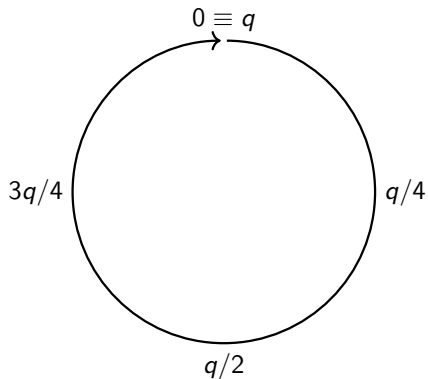
$$\implies S - S' = e'a - eb \approx 0$$

$\uparrow$   
 $\chi$  small



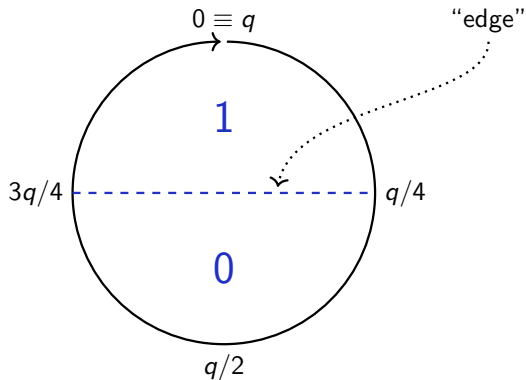
# Reconciliation

Alice and Bob obtain close secret vectors  $S, S' \in (\mathbf{Z}/q)^n$ .  
How to map coefficients to bits?



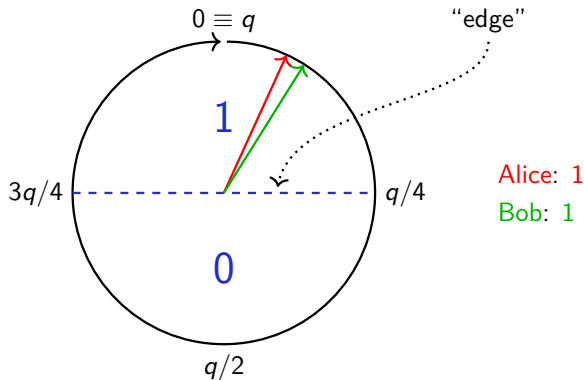
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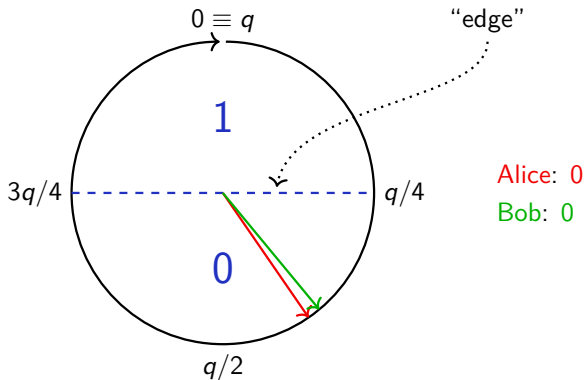
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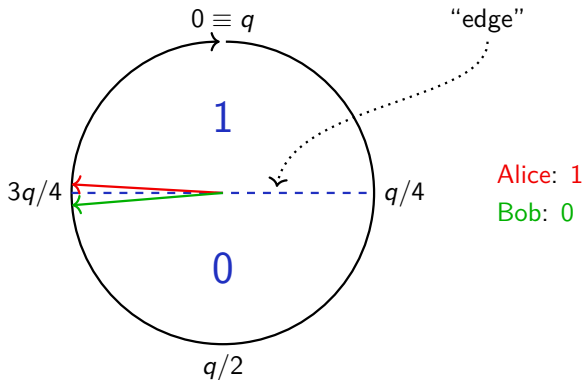
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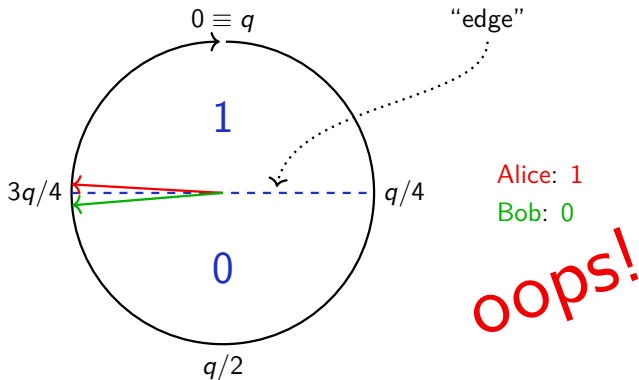
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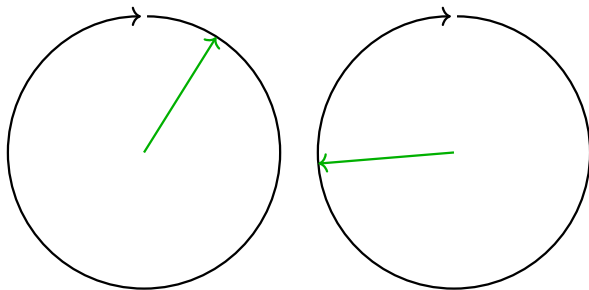
Better: **Bob** chooses a mapping based on his coefficient and tells **Alice** which mapping he used.



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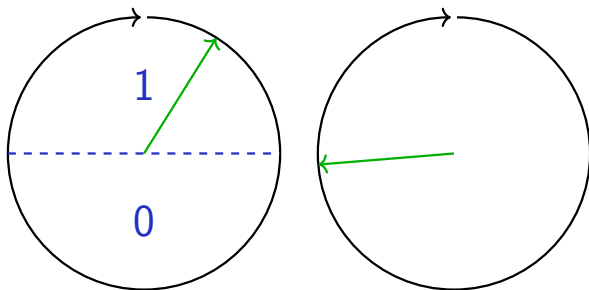
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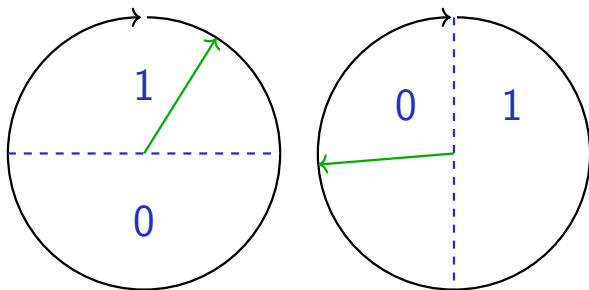
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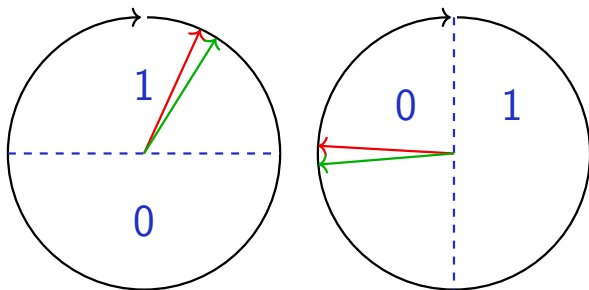
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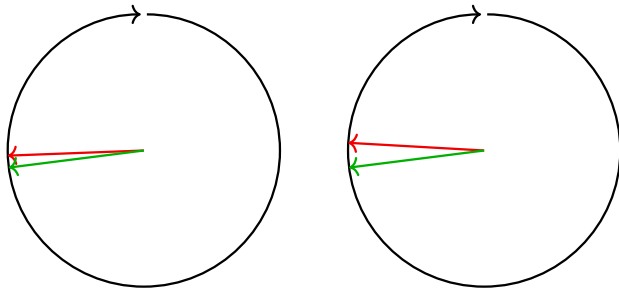


## Fluhrer's attack <https://ia.cr/2016/085>

Problem: **Evil Bob** can trick **Alice** into leaking information by deliberately using the wrong mapping for one coefficient.

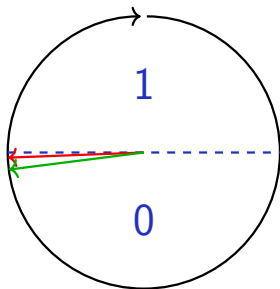
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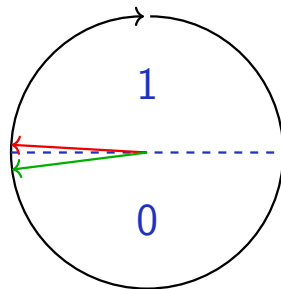


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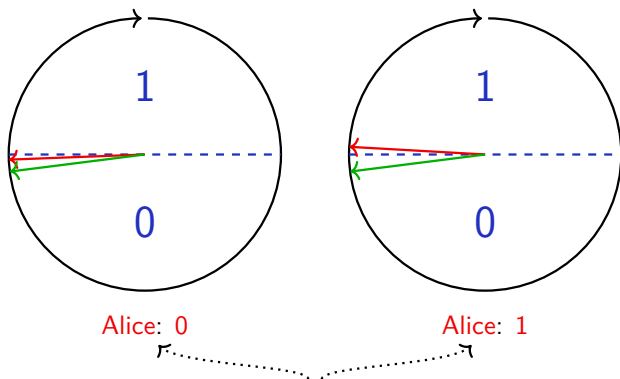
Alice: 0



Alice: 1

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Problem: **Evil Bob** can trick **Alice** into leaking information by deliberately using the wrong mapping for one coefficient.



**Evil Bob** can distinguish these cases!  
(He knows all the other key bits.)



# Chosen-ciphertext information leaks

Evil Bob has two guesses  $k_0, k_1$  for what Alice's key  $k$  will be given his manipulated public key  $B$ .



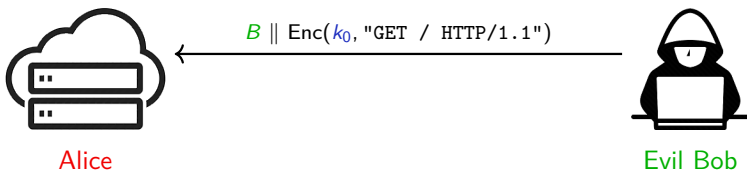
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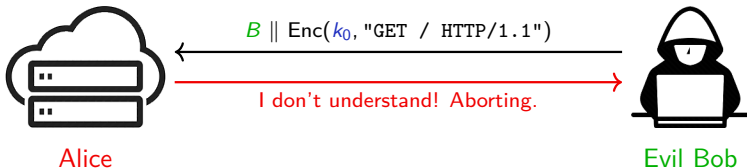
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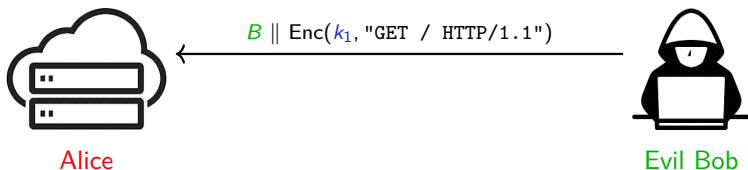
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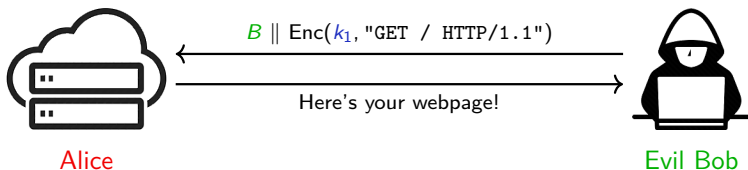
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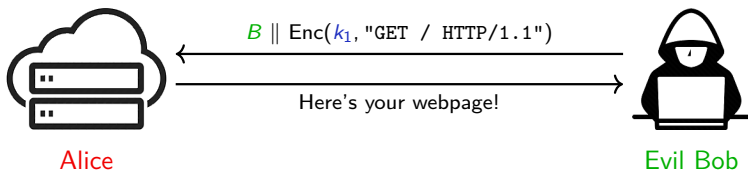
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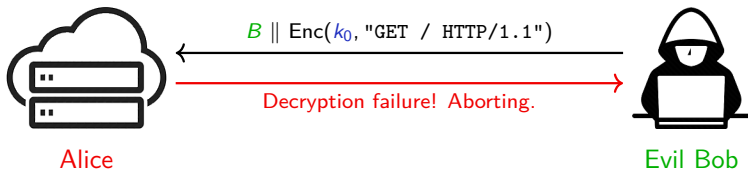
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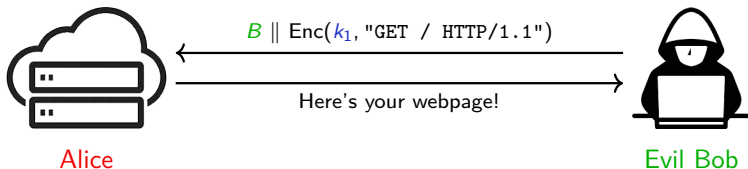


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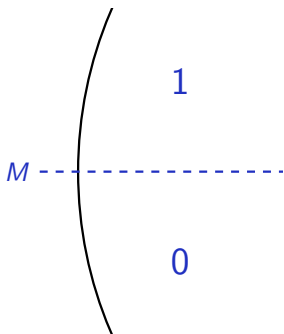
Structure of  $R$

$\rightsquigarrow$  Can choose  $e'$  such that  $e'a[0] = a[i]$  to recover all of  $a$ .



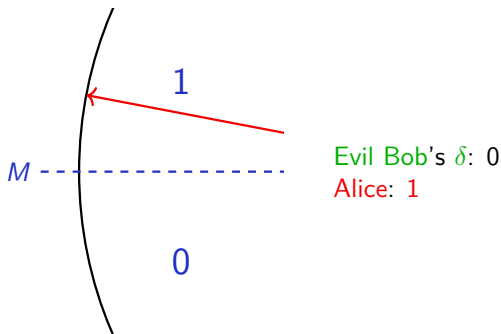
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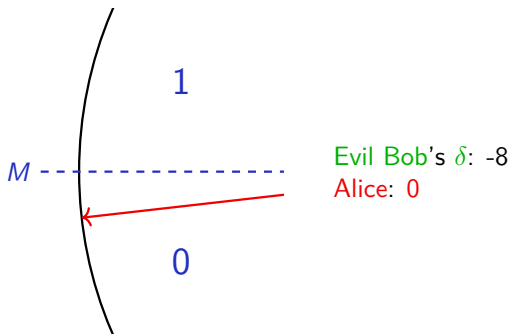
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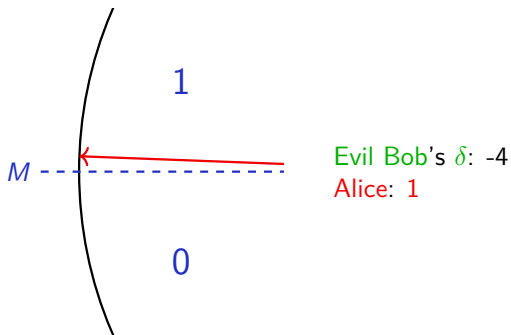
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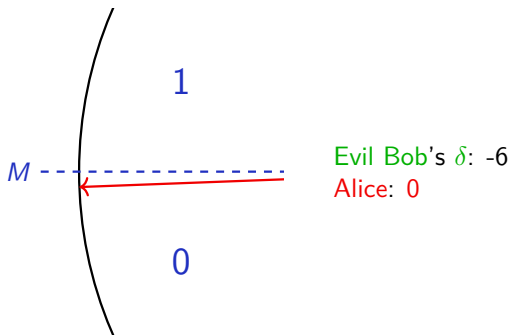
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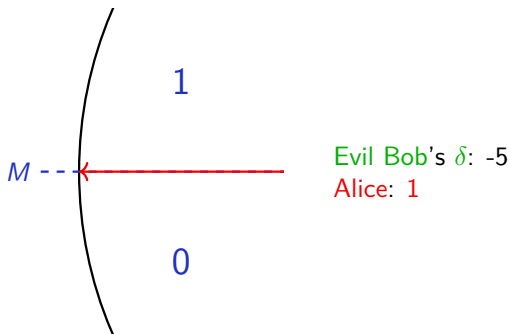
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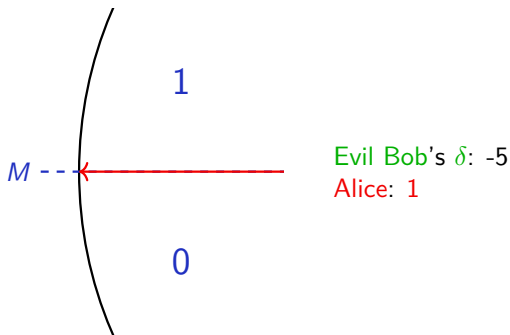
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$\implies$  Evil Bob learns that  $a[0] = 5$ .




# Our work

Adaption of Fluhrer's attack to HILA5 and analysis


- ▶ Standard noisy Diffie–Hellman with new reconciliation.



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- ▶ Ring:  $\mathbf{Z}[x]/(q, x^{1024} + 1)$  where  $q = 12289$ .<sup>1</sup>
- ▶ Noise distribution  $\chi$ :  $\underline{\Psi}_{16}$ .<sup>1</sup>  on  $\{-16, \dots, 16\}$

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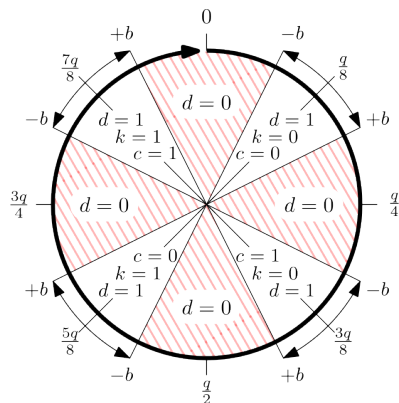
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- ▶ New reconciliation mechanism:
  - ▶ Only use “safe bits” that are far from an edge.
  - ▶ Additionally apply an error-correcting code.

---

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# HILA5's reconciliation



(picture: HILA5 documentation)

For each coefficient:

$d = 0$ : Discard coefficient.

$d = 1$ : Send reconciliation information  $c$ ; use for key bit  $k$ .

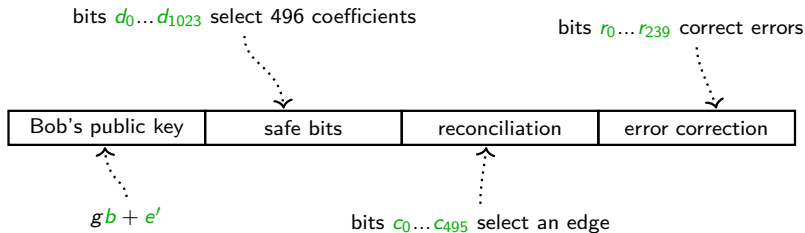
Edges:

$c = 0$ :  $\lceil 3q/8 \rceil \dots \lceil 7q/8 \rceil \rightsquigarrow k = 0$ .  
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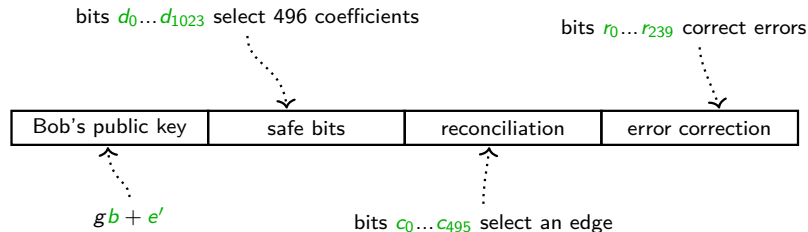
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We're going to manipulate each of these parts.

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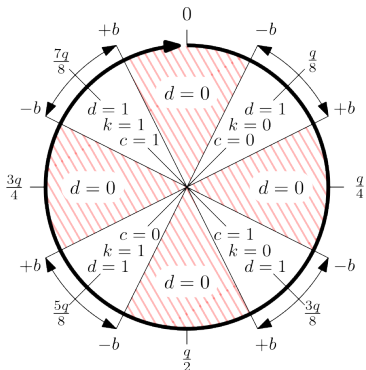


We want to attack the first coefficient.  
 $\implies$  Force  $d_0 = 1$  to make *Alice* use it.

# Living on the edge



We want to attack the edge at  $M = \lceil q/8 \rceil$ .



# Living on the edge

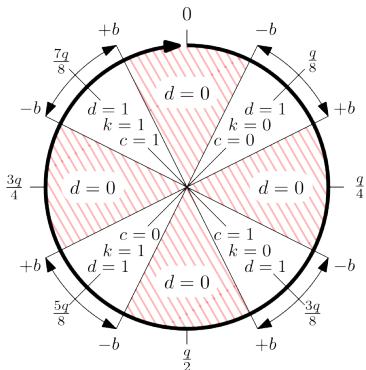
$gb + e'$

safe bits

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error correction

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# Making errors



- ▶ HILA5 uses a custom linear error-correcting code XE5.
- ▶ Encrypted (XOR) using part of **Bob's** shared secret  $S'$ .
- ▶ Ten variable-length codewords  $R_0 \dots R_9$ .
- ▶ **Alice** corrects  $S[0]$  using the first bit of each  $R_i$ .
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# All coefficients for the price of one



Our binary search recovers  $e'a[0]$  from  $gab_\delta + e'a$  by varying  $\delta$ .  
How to get  $a[1]$ ,  $a[2]$ , ..?

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By construction of  $R = \mathbf{Z}[x]/(q, x^{1024} + 1)$ ,  
Evil Bob can rotate  $a[i]$  into  $e'a[0]$  by setting  $e' = -x^{1024-i}$ .

Running the search for all  $i$  yields all coefficients of  $a$ .

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If  $b_0$  was wrong, the recovered coefficients are all 0 or  $-1$ .

$\implies$  easily detectable.



# Implementation

- ▶ Our code<sup>1</sup> attacks the HILA5 reference implementation.
- ▶ 100% success rate in our experiments.
- ▶ Less than 6000 queries (virtually always).

(Note: **Evil Bob** could recover fewer coefficients and compute the rest by solving a lattice problem of reduced dimension.)

---

<sup>1</sup><https://helaas.org/hila5-20171218.tar.gz>





TUJE KWALITEITSGARANTIE  
SMEIJG TOT OP DE BODEM

BRON VAN BOUWSTOFFEN\*

BRON VAN VITAMINE A & D

**HILA5**  
**PINDAKAAS**

**STUKJES PINDA**

Per 100g  
Energie 551 kcal

# HK17

“HK17 consists broadly in a Key Exchange Protocol (KEP) based on non-commutative algebra of hypercomplex numbers limited to quaternions and octonions. In particular, this proposal is based on non-commutative and non-associative algebra using octonions.”

Security analysis: “. . . In our protocol, we could not find any ways to proceed with any abelianization of our octonions non-associative Moufang loop [29] or reducing of the GSDP problem of polynomial powers of octonions to a finitely generated nilpotent image of the given free group in the cryptosystem and a further nonlinear decomposition attack. We simply conclude that Roman'kov attacks do not affect our proposal.”

# What are octonions?

**R**: set of real numbers.

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Exercise: Every  $q \in \mathbf{O}$  has  $q^2 = tq - n$  and  $q^* = t - q$  for some  $t, n \in \mathbf{R}$ .



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Use integers modulo prime  $p$  instead of real numbers.  
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Shared secret:  $a^m(b^krb^\ell)a^n = b^k(a^mra^n)b^\ell$ .

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Does  $a^m r a^n$  mean  $(a^m r) a^n$ , or  $a^m (r a^n)$ ?

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$$q^m (q^k r q^\ell) q^n = q^k (q^m r q^n) q^\ell.$$

$a^m (b^k r b^\ell) a^n = b^k (a^m r a^n) b^\ell$  because  $a, b$  are polynomials in  $q$ .

# A fast attack, and a faster attack

Remember the exercise:  $q^2$  is a linear combination of  $1, q$ .  
So every polynomial in  $q$  is a linear combination of  $1, q$ .  
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Even faster: Attacker solves  $a^m r a^n = (q + x)r(yq + z)$ .

Eight equations in three variables  $x, y, z$ ; linearize.

This was the second attack script: practically instantaneous.



# RaCoSS – Random Code-based Signature Schemes

- ▶ System parameters:  $n = 2400$ ,  $k = 2060$ .  
Random matrix  $H \in \mathbf{F}_2^{(n-k) \times n}$ .
- ▶ Secret key: sparse  $S \in \mathbf{F}_2^{n \times n}$ .
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## Implementation bug:

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...compares only the first 300 coefficients!

Thus, a signature with  $c[0\dots299] = 0$  is accepted for

$$\binom{2100}{3} / \binom{2400}{3} \approx 67\%$$

of all messages.

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- ▶ Expected time for a preimage on  $\approx 100$  cores: 10 hours.
- ▶ crashed while brute-forcing: memory leaks
- ▶ another message signed by the first KAT:

NISTPQC is so much fun! 10900qmmP



## Wait, there is more!

- ▶ Sign  $m$ : Pick a low weight  $y \in \mathbf{F}_2^n$ .  
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Pick  $n - k$  columns of  $H$  that form an invertible matrix  $H_1$ .
- ▶ Compute  $z = (z_1 || 00 \dots 0)$  by linear algebra.
- ▶ Expected weight of  $z$  is  $\approx (n - k)/2 = 170 \ll 1564$ .
- ▶ Properly generated signatures have  $\text{weight}(z) \approx 261$ .



# RaCoSS – Summary

- ▶ Bug in code: bit vs. byte confusion meant only every 8th bit verified.
- ▶ Preimages for RaCoSS' special hash function: only

$$\binom{2400}{3} = 2301120800 \sim 2^{31.09}$$

possible outputs.

- ▶ The code dimensions give a lot of freedom to the attacker – our forged signature is better than a real one!

# Code-based encryption

BIG QUAKE  
Classic McEliece  
LAKE  
LOCKER  
**DAGS**  
**LEDAkem**  
**LEDAPkc**  
**Lepton**  
**McNie**

**Edon-K**†  
BIKE☢  
HQC☢  
NTS-KEM☢  
Ouroboros-R☢  
QC-MDPC KEM☢  
RQC☢  
**RLCE-KEM**☢

†: submitter has withdrawn submission.

# Lattice-based encryption

CRYSTALS-KYBER  
EMBLEM and R.EMBLEM  
FrodoKEM  
KINDI  
LAC  
LIMA  
LOTUS  
NewHope  
NTRUEncrypt  
NTRU-HRSS-KEM

NTRU Prime  
Odd Manhattan  
SABER  
Titanium  
**HILA5**  
Ding Key Exchange☢☢  
Lizard☢☢  
KCL OKCN/AKCN/CNKE☢☢  
Round2☢☢  
**Compact LWE**☢☢



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**CFPKM**: multivariate encryption

**SRTPI†**: multivariate encryption

**DME♣**: multivariate encryption

**Guess Again**: hard to classify

**HK17†**: hard to classify

**RVB†**: hard to classify

# Signatures

Gravity-SPHINCS: hash-based

Picnic: hash-based

SPHINCS+: hash-based

DualModeMS: multivariate

GeMSS: multivariate

HiMQ-3: multivariate

LUOV: multivariate

**Giophantus**: multivariate

Gui<sup>⚠</sup>: multivariate

MQDSS<sup>⚠</sup>: multivariate

Rainbow<sup>⚠</sup>: multivariate

pqRSA: factoring-based

CRYSTALS-DILITHIUM: lattice-based

qTESLA: lattice-based

**DRS**: lattice-based

FALCON<sup>⚠</sup>: lattice-based

pqNTRUSign<sup>⚠</sup>: lattice-based

**pqsigRM**: code-based

**RaCoSS**: code-based

**RankSign**<sup>†</sup>: code-based

**WalnutDSA**<sup>⚠</sup>: braid-group

## Further resources

- ▶ <https://2017.pqcrypto.org/school>: PQCrypto summer school with 21 lectures on video + slides + exercises.
- ▶ <https://2017.pqcrypto.org/exec>: Executive school (12 lectures), less math, more overview. So far slides, soon videos.
- ▶ <https://pqcrypto.org>: Our survey site.
  - ▶ Many pointers: e.g., to PQCrypto conferences.
  - ▶ Bibliography for 4 major PQC systems.
- ▶ <https://pqcrypto.eu.org>: PQCrypto EU project.
  - ▶ Expert recommendations.
  - ▶ Free software libraries.
  - ▶ More video presentations, slides, papers.
- ▶ [https://twitter.com/pqc\\_eu](https://twitter.com/pqc_eu): PQCrypto Twitter feed.
- ▶ <https://twitter.com/PQCryptoConf>: PQCrypto conference Twitter feed.
- ▶ <https://csrc.nist.gov/projects/post-quantum-cryptography/round-1-submissions>  
NIST PQC competition.

