

# CCA2 and partial key recovery attack on PALOMA

Daniel J. Bernstein & Tanja Lange

thanks to Jolijn Cottaar, Kathrin Hövelmanns, Alex Pellegrini, and Silvia Ritsch for discussions

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# Ingredients in PALOMA

- PALOMA is a code-based cryptosystem using Goppa codes.
- Parameters:  $m$ ,  $n$ , and  $t$ .
  - $m = 13$ .
  - $n < 2^m$  is code length.
  - $t$  is number of errors code can efficiently correct.
- $pk$  is a  $mt \times (m - mt)$  matrix  $M$  over  $\mathbb{F}_2$ .
- $pk$  expands to  $mt \times n$  matrix  $\hat{H} = [I|M]$ .
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- Decryption uses Goppa decoder to retrieve  $\hat{e}$  from  $\hat{s}$ .
- Assumption:  $\hat{H} = SHP'$  hides structured Goppa matrix  $H$  ( $P'$  random permutation matrix,  $S$  invertible matrix to get  $\hat{H} = [I|M]$ ).

# PALOMA encapsulation

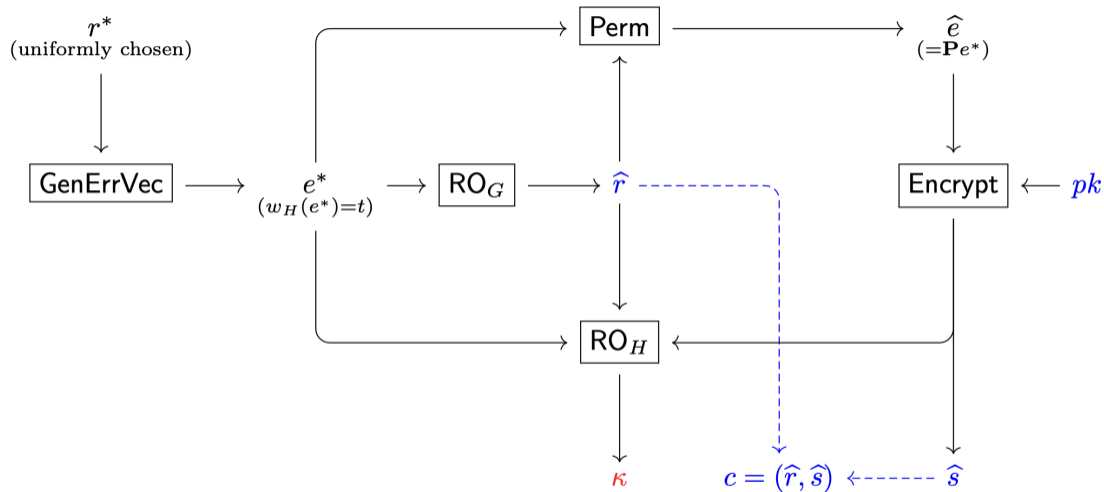


Image credit: [PALOMA Team](#)

(a)  $\kappa, c = (\hat{r}, \hat{s}) \leftarrow \text{Encap}(pk)$

# PALOMA decapsulation

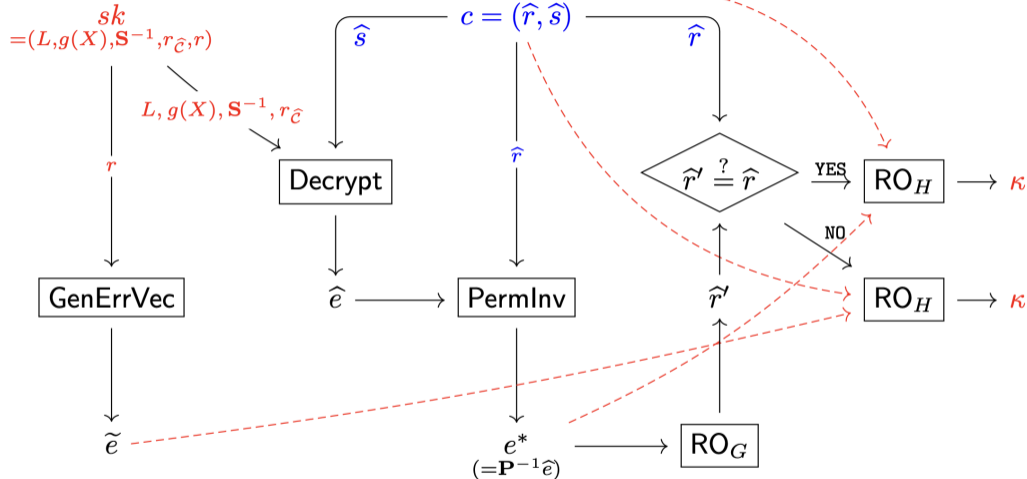


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(b)  $\kappa \leftarrow \text{Decap}(sk; c = (\hat{r}, \hat{s}))$

## Reaction attacks

- Goes back to turn of century
  - “Reaction Attacks Against Several Public-Key Cryptosystems” (Hall, Goldberg, Schneier)
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- Our attack with Alex Pellegrini from 13 April against the PALOMA software used the reaction that some decryption attempts crashed the program.

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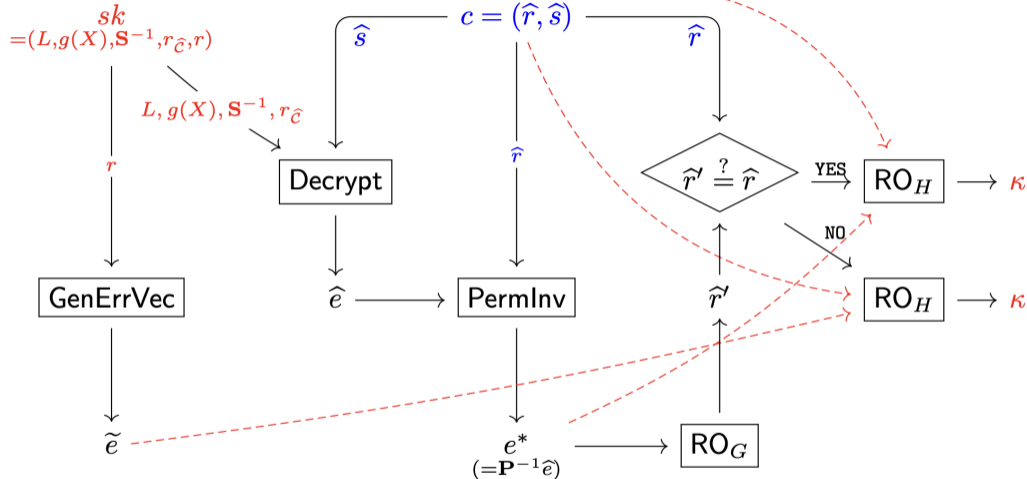


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- Now know  $\hat{e}_j = 0$  for 40 – 70% of all  $j$ .

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- Same recovery as with Pellegrini. New: **valid** fake ciphertexts, predicting  $\kappa$ .

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## Binary Goppa code

Let  $q = 2^m$ . A binary Goppa code is defined by

- a list  $L = (\alpha_1, \dots, \alpha_n)$  of  $n$  distinct elements in  $\mathbb{F}_q$ , called support.
- a square-free polynomial  $g(x) \in \mathbb{F}_q[x]$  of degree  $t$  with  $g(\alpha_i) \neq 0$  for all  $1 \leq i \leq n$ .  $g(x)$  is called Goppa polynomial.

The corresponding binary Goppa code is

$$\left\{ c \in \mathbb{F}_2^n \mid S(c) = \frac{c_1}{x - \alpha_1} + \frac{c_2}{x - \alpha_2} + \dots + \frac{c_n}{x - \alpha_n} \equiv 0 \pmod{g(x)} \right\}$$

- Congruence mod  $g$  defines  $t \times n$  parity check-matrix over  $\mathbb{F}_q$ .
- Use explicit basis of  $\mathbb{F}_q/\mathbb{F}_2$  to get  $nt \times n$  matrix  $H$ .
- Restrict code words to having entries in  $\mathbb{F}_2$ .
- Code has length  $n$ , dimension  $k \geq n - mt$  and minimum distance  $d \geq 2t + 1$ .



# KeyGen in PALOMA

PALOMA chooses

$$g(x) = \prod_{\alpha \in T} (x - \alpha)$$

for  $T \subseteq \mathbb{F}_q \setminus \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  with  $|T| = t$ . Hence,  $g(x)$  splits completely over  $\mathbb{F}_q$ .

PALOMA KeyGen, main secret is string  $r$ :

- ①  $(\alpha_1, \alpha_2, \dots, \alpha_q) = \text{SHUFFLE}_r(\mathbb{F}_q)$ .
- ②  $L = (\alpha_1, \alpha_2, \dots, \alpha_n)$ ,  $T = (\alpha_{n+1}, \alpha_{n+2}, \dots, \alpha_{n+t})$ .
- ③ Compute  $g$  and parity-check matrix  $H$ .
- ④ Pick random permutation matrix  $P'$ , compute  $HP'$  & bring to systematic form, repeat this step if fails.

Secrets are  $L$ ,  $g$ , and  $P'$ ;  $sk$  includes  $S$  with  $\hat{H} = SHP' = [I|M]$ .

Public key is  $M$ , the rightmost  $n - mt$  columns of  $\hat{H}$ .

$P'$  effectively changes order of elements in  $L$ .

## Partial key recovery attack

- Goppa codes can efficiently correct up to  $t$  errors.
- Let  $(\alpha'_1, \alpha'_2, \dots, \alpha'_n) = P'L$ .
- Observation:<sup>2</sup> Decoder used in PALOMA has exception:

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- Let  $e = (00 \dots 0)$ ,  $r = RO_G(e)$ .
- Attack algorithm:
  - 1 For  $j$  in  $1, 2, 3, \dots, n$ :
    - If decapsulation of  $(r, h_j)$  returns  $RO_H(e, r, h_j)$ : return " $\alpha'_j = 0$ ".
  - 2 Return "0 is not in support".
- This takes at most  $n$  steps and will find the position of 0 if included.

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## Bonus slides

## Patterson decoding of $c + e$ in $\Gamma(L, g)$

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- Put  $f(x) = \prod_{i=1}^n (x - \alpha_i)^{e_i}$  with  $e_i \in \{0, 1\}$ , then  $f'(x) = \sum_{i=1}^n e_i \prod_{j \neq i} (x - \alpha_j)^{e_j}$ .
- Thus  $s(x) \equiv f'(x)/f(x) \pmod{g(x)}$ . We want to find  $f$ .

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- Thus  $s(x) \equiv f'(x)/f(x) \pmod{g(x)}$ . We want to find  $f$ .
- Split  $f(x)$  into odd and even terms:  $f(x) = A^2(x) + xB^2(x)$  with  $f'(x) = B^2(x)$ .
- Thus

$$B^2(x) \equiv f(x)s(x) \equiv (A^2(x) + xB^2(x))s(x) \pmod{g(x)}$$

$$B^2(x)(x + 1/s(x)) \equiv A^2(x) \pmod{g(x)}$$

- Put  $v(x) \equiv \sqrt{x + 1/s(x)} \pmod{g(x)}$ , then  $A(x) \equiv B(x)v(x) \pmod{g(x)}$ .
- Can compute  $v(x)$  from  $s(x)$ .
- Use XGCD on  $v$  and  $g$ , stop when  $\deg(A) \leq \lfloor t/2 \rfloor$ ,  $\deg(B) \leq \lfloor (t-1)/2 \rfloor$  in

$$A(x) = B(x)v(x) + h(x)g(x).$$



## Extended Patterson decoder

PALOMA uses extended Patterson decoder for reducible  $g$ , dealing with  $\gcd(g, s) \neq 1$ .

- Let  $\tilde{s} = 1 + xs$  and  $g_1 = \gcd(g, s)$ ,  $g_2 = \gcd(g, \tilde{s})$ ,  $g_{12} = g/(g_1g_2)$ .
- Compute  $\tilde{s}_2 = \tilde{s}/g_2$  and  $s_1 = s/g_1$ .
- Replace  $u(x) = x + 1/s(x)$  by

$$u = g_1\tilde{s}_2/(g_2s_1) \bmod g_{12}$$

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- Compute  $\tilde{s}_2 = \tilde{s}/g_2$  and  $s_1 = s/g_1$ .
- Replace  $u(x) = x + 1/s(x)$  by

$$u = g_1\tilde{s}_2/(g_2s_1) \bmod g_{12}$$

- Deal with complication of computing  $v = \sqrt{u} \bmod g_{12}$  for reducible  $g_{12}$ .
- Let half-gcd return  $A', B'$ , put

$$f(x) = (A'g_2)^2 + x(B'g_1)^2.$$

- Put  $e = (00 \cdots 0)$ .
- For  $j$  in  $1, 2, \dots, n$ : if  $f(\alpha_j) = 0$  put  $e = e + e_j$ .
- Random polynomial has 0 roots in  $L$  with probability  $\approx (1 - 1/q)^n$ .